MAPLE-BROWN ABBOTT
INVESTMENT MANAGERS SINCE 1984

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Maple-Brown Abbott Global Listed Infrastructure Fund - Hedged | ABN 74 567 801 728
Manager Antipodes Partners Limited I ACN 602 042 035 | ABN 29 602 042 035 | AFSL No. 481580
Responsible Entity Maple-Brown Abbott Limited | ABN 73 001 208 564 | AFSL No. 237296

Application Form

APPLICATION OPTIONS:

OPTION A: APPLY ONLINE AND PAY ELECTRONICALLY (RECOMMENDED)

Apply online at: https://apply.automic.com.au/MBIH

- ✓ **Pay electronically:** Applying online allows you to pay electronically at the time of making your application.
- ✓ **Get in first, it's fast and simple:** Applying online is very easy to do, it eliminates any postal delays and removes the risk of your Application being potentially lost in transit.
- ✓ **It's secure and confirmed:** Applying online provides you with greater privacy over your instructions and is the only method which provides you with immediate confirmation that your application has been successfully processed.

OPTION B: STANDARD PAPER-BASED APPLICATION AND PAYMENT

Please complete this form in accordance with the instructions below.

1 READ THE PRODUCT DISCLOSURE STATEMENT

Please read and ensure you understand the Product Disclosure Statement ('PDS'), any product guide, important information, and Declaration as part of this Application Form. The law prohibits any person passing this Application Form on to another person unless it is accompanied by a complete PDS. We will provide a paper or electronic copy of the current PDS and its incorporated documents on request and without charge.

2 COMPLETE THIS APPLICATION FORM

Please write in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistake and initial your changes. The investor(s) must complete and sign the form. Keep a photocopy of the completed Application Form for your records.

3 CERTIFY AND PROVIDE YOUR IDENTIFICATION DOCUMENTS

Please refer to Appendix A 'Identification and verification' and provide the relevant identification documentation as outlined in this Application Form.

4 SEND YOUR DOCUMENTS

Return your Application Form and certified documents to:

By Email:
Maple-Brown Abbott Global Listed Infrastructure Fund - Hedged
maple-brownabbott@automic.com.au

By Post:
Maple-Brown Abbott Global Listed Infrastructure Fund - Hedged GPO Box 5193
Sydney NSW 2000

OR… Save time and apply online:
See details above

5 MAKE YOUR PAYMENT

To make payment, please return your form with your email address provided in section 2.1. When your application is processed by our unit registry you will be sent an automated email confirmation. This will provide you with your personalised payment instructions to make your payment.

IMPORTANT: You must ensure that you use the unique payment ID that is provided in this email confirmation otherwise we may not be able to identify your funds and your application will be rejected.

Need help with your application, then please contact us on:

Email: maple-brownabbott@automic.com.au
Phone (Within Australia): 1300 288 664
Phone (Outside Australia): +61 2 9698 5414

1. INVESTMENT DETAILS

1.1 INVESTMENT AMOUNT: I/We apply to invest in the Maple-Brown Abbott Global Listed Infrastructure Fund - Hedged. Applications must be for a minimum of A$ 20,000 or such lesser amount as agreed by the Responsible Entity.

$AUD | | | | , | | | | , | | | | , | | | | . | | |

1.2 EXISTING INVESTOR: Has the applicant invested with Maple-Brown Abbott before?

☐ Yes (Please provide your Securityholder Reference Number) _____

☐ No

2. CONTACT DETAILS

2.1 CONTACT DETAILS: Please specify contact details below.

Contact Name _____ Contact Telephone Number _____

Primary Email Address: _____

By providing your email address, you elect to receive all communications electronically by the Responsible Entity (where legally permissible).

Additional Email Addresses (you can nominate up to 5 additional email addresses)

_____

_____

_____

_____

_____

3. PAYMENT INSTRUCTIONS

31 REINVESTMENT DISTRIBUTION ELECTION: Please indicate below how you would like to receive fund distributions.

A nomination in this section overrides any previous nominations within the same fund. There may be periods in which no distribution is payable, or we may make interim distributions. We do not guarantee any particular level of distribution:

☐ Reinvest in the Fund

☐ Receive cash distribution.
Please complete section 3.2 below

32 PAYMENT INSTRUCTIONS: Please provide your EFT details below for all cash payments

Payments will only be made electronically to Australian bank accounts. We will not make any payments into third party bank accounts. Investors who do not provide EFT details will have distribution payments defaulted into reinvestment (where eligible).

PLEASE NOTE: Distribution payments will be made by EFT to investors.

BSB | | | – | | | Account Number | | | | | | | | |

DO NOT USE YOUR CARD NUMBER
If you are unsure of your BSB or account number, please check with your bank, building society or credit union.

Account Name _____

4. YOUR CONSUMER ATTRIBUTES

To assist the RE in meeting the Design and Distribution Obligations, you are required to indicate the purpose of your investment by responding to each of the questions set out below. Your responses should reflect your objectives and needs for this Investment. Please tick **only 1 box** for each question below.

The below only needs to be answered where you are a **direct retail investor** (I.e., does not apply to Indirect or intermediated investments such as those made by platforms, custodians, etc.). **If you are not a retail investor you may be required to provide a wholesale certificate to support your application**.

1. Have you received advice prior to applying to invest in the Fund?

If you were referred to this offer by your stockbroker or financial adviser, please provide their details. Additional questions may also be required for this issuer.

☐ Yes - Financial Adviser Number or Name _____

By choosing to link a financial adviser to your holding, you are authorising Automic Group ("us" or "we") to share your information with that third party. In doing so, you understand and accept that:

- This authorisation will take effect immediately and will continue until you remove the adviser from your holding through this Portal, or you instruct Automic Group in writing. You can do this at any time.
- This authority does not allow a third party to provide instructions on, or make any changes to, your holding(s). If you wish to authorise a third party to provide instructions on your behalf, a valid Power of Attorney must be provided.
- For more details on how we manage personal information, refer to our Privacy Policy here: **https://www.automicgroup.com.au/privacy-policy/**

☐ No

2. Please identify the source of your Investment?

☐ Gainful employeement/savings ☐ Inheritance/gift ☐ Financial Investments

☐ Business Activity ☐ Superannuation savings

3. Have you received personal financial advice regarding this Investment? If yes, please ensure you have selected Yes in the question above and completed your financial adviser details.

☐ Yes ☐ No

4. What is your primary investment objective in relation to this investment?

☐ **Capital Growth** – You seek to invest in a product designed or expected to generate capital return over the investment timeframe. You prefer exposure to growth assets (such as shares or property) or otherwise seek an investment return above the current inflation rate.

☐ **Capital Preservation** – You seek to invest in a product designed or expected to have low volatility and minimise capital loss. You prefer exposure to defensive assets that are generally lower in risk and less volatile than growth investments (this may include cash or fixed income securities).

☐ **Income Distribution** – You seek to invest in a product designed or expected to distribute regular and/or tax-effective income. You prefer exposure to income-generating assets (this may include high dividend-yielding equities, fixed income securities and money market instruments).

5. Are you seeking a source of supplemental income in addition to the above objective?

☐ **Solution/ Standalone (up to 100%)** – You may hold the investment as up to 100% of your total investable assets. You are likely to seek a product with very high portfolio diversification. A very high portfolio diversification provides exposure to a large number of holdings across a broad range of asset classes, sectors and geographic markets with limited correlation to each other.

☐ **Major allocation(up to 75%)** – You may hold the investment as up to 75% of your total investable assets. You are likely to seek a product with at least high portfolio diversification. A high portfolio diversification provides exposure to a large number of holdings (for example, over 50 securities) in multiple broad asset classes, sectors or geographic markets.

☐ **Core component (up to 50%)** – You may hold the investment as up to 50% of your total investable assets. You are likely to seek a product with at least medium portfolio diversification. A medium portfolio diversification provides exposure to a moderate number of holdings (for example, up to 50 securities) in at least one broad asset class, sector or geographic market (for example, Australian fixed income securities or global natural resources).

☐ **Minor allocation (up to 25%)** – You may hold the investment as up to 25% of your total investable assets. You are likely to seek a product with at least low portfolio diversification. A low portfolio diversification provides exposure to a small number of holdings (for example, fewer than 25 securities) or a narrow asset class, sector or geographic market.

☐ **Satellite allocation (up to 10%)** – You may hold the investment as up to 10% of your total investable assets. You may seek a product with very low portfolio diversification. A very low portfolio diversification provides exposure to a single asset (for example, a commercial property) or a niche asset class (for example, minor commodities, crypto-assets or collectibles). Products classified as extremely high risk are likely to meet this category only..

6. What is your intended investment timeframe?

☐ Less than 3 years
☐ 3-5 years
☐ 5-7 years
☐ 7 years or more

7. What risk / return profile do you expect from this investment?

☐ **Low** – For the relevant part of your portfolio, you have a conservative or low risk appetite, seek to minimise volatility and potential losses (e.g. have the ability to bear up to 1 negative return over a 20 year period (SRM 1 to 2)), and are comfortable with a low target return profile. You typically prefer stable, defensive assets (such as cash).

☐ **Medium** – For the relevant part of your portfolio, you have a moderate or medium risk appetite, seek low volatility and potential losses (e.g. have the ability to bear up to 4 negative returns over a 20 year period (SRM 3 to 5), and are comfortable with a moderate target return profile. You typically prefer defensive assets (for example, fixed income)

☐ **High** – For the relevant part of your portfolio, you have a high-risk appetite, can accept high volatility and potential losses (e.g. have the ability to bear up to 6 negative returns over a 20 year period (SRM 5 or 6), and seek high returns (typically over a medium or long timeframe). You typically prefer growth assets (for example, shares and property).

☐ **Very High** – For the relevant part of your portfolio, you have a very high risk appetite, you can accept very high volatility and potential losses (e.g. have the ability to bear 6 to 7 negative returns over a 20 year period (SRM 6 or 7), and seek to maximise returns (typically over a medium or long timeframe). You typically prefer high growth assets (such as high conviction portfolios, hedge funds, and alternative investments).

☐ **Extremely High** – For the relevant part of your portfolio, you have an extremely high-risk appetite, can accept significant volatility and losses, and seek to obtain accelerated returns (potentially in a short timeframe). You seek extremely high risk, speculative or complex products which may have features such as significant use of derivatives, leverage or short positions or may be in emerging or niche asset classes (for example, crypto-assets or collectibles).

8. Under normal circumstances, within what period do you expect to be able to access your funds for this investment?

☐ Within one week of request
☐ Within one month of the request
☐ Within three months of request
☐ Within one year of request

Please note:

1. Failure to complete the above questions may result in your application not being accepted;
2. Acceptance of your application should not be taken as a representation or confirmation that an investment in the Fund is, or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions and
3. For further information on the suitability of this product, please refer to your financial adviser and/or the TMD

5. SECTIONS TO COMPLETE AND IDENTIFICATION REQUIRED

IMPORTANT: Complete the sections outlined as applicable to your investor type

Please refer to the column 'Identification Documentation Required' for guidance on the documentation you must provide as part of your application.

Investor Type	Description	To Be Completed	Identification Documentation Required
☐ **Individual/Joint Investors/Individual Trustee**	A natural person or persons.	Section 6.1 Section 7 Section 8 Section 9 (if applicable) Section 10	**Certified copy of a driver's licence** that contains a photograph of the licence/ permit holder; **or certified copy of a passport** that contains a photograph and signature of the passport holder (can be current or recently expired (within the last 2 years).
☐ **Sole Trader**	A natural person operating a business under their own name with registered business name.	Section 6.1 Section 7 Section 8 Section 9 (if applicable) Section 10	**Certified copy of a driver's licence** that contains a photograph of the licence/ permit holder; **or certified copy of a passport** that contains a photograph and signature of the passport holder (can be current or recently expired (within the last 2 years).
☐ **Companies/Corporate Trustee**	A company registered as an Australian public company or an Australian proprietary company, or a foreign company.	Section 6.3 Section 7 Section 8 Section 9 (if applicable) Section 10	**Certified copy of the certification of registration** issued by ASIC or the relevant foreign registration body; **or the most recent Company Statement issued by ASIC.** Please **provide identification documents for those persons that own or control more than 25%** of the company.
☐ **Trust/Superannuation Fund**	Types of trusts include self-managed superannuation funds, registered managed investment schemes, unregistered wholesale managed investment schemes, government superannuation funds or other trusts (such as family trusts and charitable trusts).	Section 6.4 Section 7 Section 8 Section 9 (if applicable) Section 10	Ensure the **ABN** of the superannuation fund is disclosed in the supporting document/s. Provide a **certified copy** or a certified extract of the **Trust Deed** containing the cover page, recitals and signature page.

☐ **Partnership**	A partnership created under a partnership agreement.	Section 6.2 Section 7 Section 8 Section 9 (if applicable) Section 10	A **certified copy or extract of the partnership agreement**. If the partnership is a member of a professional association: An original or certified copy of a current membership certificate (or equivalent) of a professional association; or Membership details independently sourced from the relevant professional association (e.g., the professional association's website). All Beneficial Owners named.
☐ **Associations**	Incorporated associations are associations registered under State or Territory based incorporated association statutes. Unincorporated associations are those of persons who are not registered under an incorporated associations statute and thus do not have the legal capacity to enter into agreement	Section 6.3 Section 7 Section 8 Section 9 (if applicable) Section 10	The **original, a certified copy or a certified extract of the constitution** or rules of the association; and (Where the association is incorporated) information provided by ASIC or by the State, Territory or overseas body responsible for the incorporation of the association. **Beneficial Owners** The documents that are to be collected for INDIVIDUALS. All Beneficial Owners named.

6. INVESTOR DETAILS

6.1 INVESTOR DETAILS: INDIVIDUALS

Please complete if you are investing individually, jointly or you are an individual or joint trustee, or as a sole trader.

INVESTOR 1:

Title | First Name(s)

Surname

Date of Birth

/ /

Address: Unit / Street Number / Street Name

Suburb | State

Postcode | Country

INVESTOR 2:

Title | First Name(s)

Surname

Date of Birth

/ /

Address: Unit / Street Number / Street Name

Suburb | State

Postcode | Country

Select the primary address for the investment account – only 1 address can be made the primary address. If no selection is made or the selection is invalid, the investor 1 address will be applied.

☐ Investor 1 address above is the primary address

☐ Investor 2 address above is the primary address

TAX DETAILS:

If you are an Australian resident for tax purposes, please provide your tax file number (TFN) or exemption code. If you are an Australian resident and do not provide your TFN, or exemption code, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN or Exemption Code (Investor 1)

TFN or Exemption Code (Investor 2)

Non-Australian residents: If you are not an Australia resident for tax purposes, please indicate your country of residence.

Foreign tax domicile (Investor 1)

Foreign tax domicile (Investor 2)

SOLE TRADERS – Additional Information required if a Sole Trader

Full Business Name

Australian Business Number (ABN)

If there are more than two individual investors, please provide the full name, date of birth, and residential address of each on a separate sheet and attach to this form.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

☐ Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

☐ Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

☐ **Certified copy of a current driver's licence** that contains a photograph of the licence/permit holder; OR

☐ **Certified copy of a passport** that contains a photograph and signature of the passport holder (can be current or recently expired within the last 2 years)

6.2 INVESTOR DETAILS: PARTNERSHIP

Complete this section if you are investing for, or on behalf of, a partnership.

Full Name of Partnership

Country where partnership is established

ACN/ABN (if registered in Australia)

Name of Regulator

Registered Address

Suburb | State | Postcode | Country

Postal Address (if different from above)

Suburb | State | Postcode | Country

Note: The postal address will be used for all account correspondence; however we also require your registered address.

Is the Partnership regulated by a professional association?

☐ Yes - Name of Association

Provide membership details

☐ No - How many partners are in the partnership

Partner 1:

Title | First Name(s)

Surname

Date of Birth

/ /

Address: Unit / Street Number / Street Name

Suburb | State

Postcode | Country

Partner 2:

Title | First Name(s)

Surname

Date of Birth

/ /

Address: Unit / Street Number / Street Name

Suburb | State

Postcode | Country

Partner 3:

Title | First Name(s)

Surname

Date of Birth

/ /

Address: Unit / Street Number / Street Name

Suburb | State

Postcode | Country

Partner 4:

Title | First Name(s)

Surname

Date of Birth

/ /

Address: Unit / Street Number / Street Name

Suburb | State

Postcode | Country

If there are more partners, provide details on a separate sheet and tick this box

If there are more partners, provide details on a separate sheet and confirm the total number of partners in the box ☐

BENEFICIAL OWNERS OF THE PARTNERSHIP

Please provide details of the Beneficial Owner(s) of the partnership who directly or indirectly controls the partnership in Section 8.6.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

☐ Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

☐ Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

GROUP A – Individual/Sole Trader/Joint or Individual trustee

Each individual investor, individual trustee, beneficial owner, or individual agent or authorised representative must provide **one** of the following primary photographic ID:

- A current Australian driver's licence (or foreign equivalent) that includes a photo and signature.
- An Australian passport (not expired more than 2 years previously).
- A foreign passport or international travel document (must not be expired) that includes a photo and signature.
- An identity card issued by a State or Territory Government that includes a photo and date of birth.

If you do NOT own one of the above ID documents, please provide one valid option from Column A and one valid option from Column B.

Column A	Column B
• Australian birth certificate • Australian citizenship certificate. • Pension card issued by Department of Human Services. • Health care card issued by Centrelink.	• A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address. • A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. Block out the TFN before scanning, copying or storing this document. • A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address). • If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended that school.

6.3 INVESTOR DETAILS: COMPANY/CORPORATE TRUSTEE

Complete this section if you are investing as a company or corporate trustee.

Full Company Name

Country of Formation, Incorporation or Registration

ARBN (if registered with ASIC)

ACN/ABN (if registered in Australia)

Tax File Number or Exemption Code (Australian residents)

AFS Licence Number (if applicable)

Name of Regulator (if Licenced by an Australian Commonwealth, State or Territory statutory regulator)

Registered Business Address

Suburb | State | Postcode | Country

Principal Place of Business (not a PO Box address)

Suburb | State | Postcode | Country

If an Australian Company, registration status with ASIC.

☐ Proprietary Company ☐ Public Company

If a Foreign Company, registration status with the relevant foreign registration body

☐ Proprietary Company ☐ Public Company ☐ Other - Please Specify

Name of Relevant Foreign Registration Body

Foreign Company Identification Number

Tax File Number or Exemption Code (Australian residents)

AFS Licence Number (if applicable)

Is the Company Listed?

☐ No ☐ Yes - Name of Market/Stock Exchange

Is the Company a majority-owned subsidiary of an Australian listed company?

☐ No ☐ Yes - Name of Australian Listed Company

- Name of Market/Stock Exchange

DIRECTORS OF THE COMPANY/CORPORATE TRUSTEE

If the company is registered as a proprietary company by ASIC or a private company by a foreign registration body, please list the name of each director of the company.

Director 1 – Full Name

Director 2 – Full Name

Director 3 – Full Name

Director 4 – Full Name

Director 5 – Full Name

Director 6 – Full Name

BENEFICIAL OWNERS OF THE COMPANY/CORPORATE TRUSTEE

Please provide details of the Beneficial Owner of the company who directly or indirectly controls the company in Section 8.6.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

☐ Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

☐ Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

GROUP B – Company/Corporate Trustee

Australian Companies

Provide one of the following:

- A certified copy of the company's Certificate of Registration or incorporation issued by ASIC.
- A copy of information regarding the company's licence or other information held by the relevant Commonwealth, State or Territory regulatory body e.g. AFSL, RSE, ACL, etc.
- A company ASIC extract issued in the previous 3 months or the company's last annual statement showing all the Directors/ Officers and Shareholders of the company.
- If the company is listed on an Australian securities exchange, provide details of the exchange and the ticker (issuer) code.
- If the company is a majority owned subsidiary of a company listed on an Australian securities exchange, provide details of the holding company name, its registration number e.g. ACN, the securities exchange and the ticker (issuer) code.
- The company's last annual statement issued by ASIC.

All of above must clearly show the company's full name, its type (i.e. public or private) and ACN issued to the company.

Foreign Companies

Provide one of the following:

- A certified copy of the company's Certificate of Registration or incorporation issued by ASIC or the equivalent issued by the foreign jurisdiction(s) in which the company was incorporated, established or formed.
- A certified copy of the company's articles of association or constitution and a certified company share structure
- A company extract (ASIC or foreign registration body) issued in the previous 3 months showing all the Directors/Officers and Shareholders of the company.
- A copy of the last annual company statement issued by the regulator.

All of the above must clearly show the company's full name, its type (i.e. public or private) and the ARBN issued by ASIC, or the identification number issued to the company by the foreign regulator.

In addition, please provide verification documents for each beneficial owner or controlling person (senior managing official and shareholder) as listed under Group A.

A beneficial owner of a company is any person entitled (either directly or indirectly) to exercise 25% or more of the voting rights, including a power of veto, or who holds the position of senior managing official (or equivalent) and is thus the controlling person.

Authorised Signatories

- A certified copy of the ASL with the full name, position and signature of each authorised representative
- A certified copy of the authorising document (e.g. Power of Attorney)
- Please tick to confirm the authoring document or Power of Attorney is still valid and has not been revoked.

6.4 INVESTOR DETAILS: TRUST/SUPERANNUATION FUND

Complete this section if you are investing as a Trust/Superannuation Fund.

Full Name of Trust/Superannuation Fund

Country of Establishment

Tax File Number or Exemption Code

Australian Business Number (if any)

TYPE OF TRUST

(Please tick ONE box from the list below to indicate the type of Trust and provide the required information)

Type A: ☐ Regulated Trust (e.g. self-managed superannuation fund)

Name of regulator (e.g. ASIC, APRA, ATO)

Registration/Licensing details

Type B: ☐ Government Superannuation Fund

Name of the legislation establishing the fund

Type C: ☐ Foreign Superannuation Fund

Name of regulator

Registration/Licensing details

Type D: ☐ Other Type of Trust/Unregulated Trust

Trust Description (e.g. family, unit, charitable)

If **Type C or D**, please complete the below Beneficiary details.
Do the terms of the Trust identify the beneficiaries by reference to a membership of a class?

☐ **Yes**

Describe the class of beneficiaries below (e.g. unit holders, family members of named person, charitable purposes)

☐ **No**

Provide the full names of each beneficiary in respect of the trust in Section 8.6 (includes beneficial owners who ultimately own 25% or more of the trust)

If a trustee is an individual, please also complete Section 6.1. If a trustee is a company, please also complete Section 6.3.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

☐ Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

☐ Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

☐ Provide the ABN for the superannuation fund

OR

☐ Provide a certified copy or a certified extract of the Trust Deed containing the cover page, recitals and signature page.

GROUP C – Trust/Superannuation Fund

Regulated Trusts

Registered Managed Investment Scheme, Government Superannuation Fund or a trust registered with the Australian Charities and Not-for-Profit Commission (ACNC), or a regulated, complying Superannuation Fund, retirement or pension fund (including a self-managed super fund)

Provide one of the following:

A copy of the company search of the relevant regulator's website e.g. APRA, ASIC or ATO.

- **Superannuation funds** go to www.abn.business.gov.au, select the 'Super Fund Lookup' option and print out the results for your super fund – ensure that the ABN of the Superannuation Fund is provided.
- **Registered managed investment schemes** an ASIC search of the scheme
- **Unregistered managed investment schemes (MIS)** a letter from a lawyer or a qualified accountant confirming that the unregistered MIS only has wholesale clients and does not make small scale offerings to which s1012E of the Corporations Act applies OR the relevant offer document
- **Government superannuation funds** an extract of the establishing legislation.
- **Trust as a charity** a copy from the ACNC of information registered
- Annual report or audited financial statements.
- A certified copy of a notice issued by the ATO within the previous 12 months.
- A certified copy of an extract of the Trust Deed (i.e. cover page and signing page and first two pages that describes the trust, its purpose, appointer details and settlor details etc.)

Unregulated Trust

All other Unregulated trust (including a Foreign trust), provide the following:

- **Trust Deed** – A certified copy of an extract of the Trust Deed (i.e. cover page and signing page and first two pages that describes the trust, its purpose, appointer details and settlor details etc.)
- **Letter of compliance for nominees and sub-custodians** – If you have relied on the ACIP and OCDD performed by a member of your designated Business Group in respect to the underlying investor, please attach a signed AML/CTF compliance letter from the entity that has appointed you
- **Margin loan** – For Margin Lenders or Nominees of the Margin Lender, please provide a certified copy or certified extract of the Loan Agreement with the Borrower

If the trustee is an individual, please also provide verification documents for one trustee as listed under Group A on Page 8.

If the trustee is a company, please also provide verification documents for a company as listed under Group B on Page 15.

7. AML VERIFICATION

IMPORTANT: Failure to complete electronic OR paper based verification will result in your application being rejected.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

The Responsible Entity is required to comply with the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Cth) and associated rules and regulations (AML/CTF Laws). This means that the Responsible Entity may require Unitholders to provide personal information and documentation when investing in the Fund. The Responsible Entity may need to obtain additional information and documentation to process applications or subsequent transactions or at other times.

Please ensure that you complete these details to enable your application to be processed.

Important Information

Automic Group uses an electronic verification service provider, BronID, for identity verification and risk assessment services to determine, with reasonable satisfaction, that an individual is who they claim to be. The Responsible Entity must undertake this check to meet its obligations under Anti-Money Laundering and Counter-Terrorism Financing laws in Australia.
You will be directed to input personal information including your name, residential address and date of birth. The BronID platform is then used to assess whether the personal information matches (in whole or part) the personal information held by government data sources, or credit reporting bodies. BronID uses the personal information to prepare an assessment, which it provides to Automic Group.

If the identity verification cannot be completed by using an electronic verification search through BronID, or you do not consent to the electronic verification process, Automic Group will request that your identity be verified by providing certified copies of identity documents.

☐ **By proceeding with this application, I/we consent to the making of this request and the disclosure of the personal information to BronID**.

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

Summary of common entities and their requirements.

Select one of the following options to verify a *Superannuation Fund* or a *Regulated Trust*.

- Perform a search of the relevant regulator's website e.g. 'Super Fund Lookup' (unit registry to perform);
- Provide a copy of an offer document of the managed investment scheme e.g. a copy of a Product Disclosure Statement; or
- Provide a copy of the legislation establishing the government superannuation fund sourced from a government website.

Select one of the following options to verify an *Unregulated Trust* or a *Foreign Superannuation Fund*.

- Provide a certified copy or a certified extract of the Trust Deed containing the cover page, recitals and signature page;
- Provide an original letter from a solicitor or qualified accountant that confirms the name of the Trust; or
- Provide a notice issued by the Australian Taxation Office within the last 12 months (e.g. a Notice of Assessment).

Select one of the following options to verify the *Beneficiaries and the Beneficial Owners* identified in Section 8.6.

- Provide a certified copy of a current Australian driver's licence that contains a photograph of the licence/permit holder; or
- Provide a certified copy of a passport* that contains a photograph and signature of the passport holder.
  AND relevant identification documents for the trustee (as applicable).

*Please note that your passport can current or be recently expired (within the last 2 years).

8. TAX DECLARATION (FATCA AND CRS)

IMPORTANT: Failure to complete this section will result in your application being rejected.

The certification is being used to comply with the U.S. Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standards (CRS).

Australia is a participant in the Automatic Exchange of Information (AEOI) regime concerning the automatic exchange of financial account information with foreign jurisdictions. The regime aims to address tax evasion at a global level. The relevant laws are the Foreign Account Tax Compliance Act (FATCA) in the case of exchange by Australia with the United States of America (U.S.) and the Common Reporting Standard (Standard) in the case of exchange by Australia with other countries that have implemented the Standard. The Standard commenced operation in Australia on 1 July 2017.

The Foreign Account Tax Compliance Act (FATCA) relates to US taxpayers and the Common Reporting Standard (CRS) is a broader framework for the exchange of financial account information between jurisdictions relating to all non-Australian taxpayers.

To comply with FATCA and CRS, as a financial institution, we must collect information about your tax status before opening your account. In some cases, this information will need to be reported to the Australian Taxation Office who may then pass this information to tax authorities in other jurisdictions. Please note that if you do not complete this section, we may not be able to process your application.

Further information about this regime is available at the website URL shown below:
https://www.ato.gov.au/General/International-tax-agreements/In-detail/International-arrangements/Automatic-exchange-of-information---CRS-and-FATCA/

As a result of Australia's participation in the regime applicants must certify their country of tax residency. Where required the information will be reported to the Australian Taxation Office (ATO) which will report to various global tax authorities.

If you are unable to complete this form, please seek appropriate advice relating to the tax information required.

The account holder is the persons listed or identified as applicant in Section 6 (Account Holder).

The Account Holder's Country of Tax Residence, Taxpayer Identification Number (TIN) or Tax File Number (TFN), Global Intermediary Identification Number (GIIN), FATCA Status, CRS Status and Controlling Persons (includes Beneficial Ownership details) must be provided in this section. If the person opening the account is not a Financial Institution and is acting as an intermediary, agent, custodian, nominee, signatory, investment advisor or legal guardian on behalf of one or more other account holders, this form must be completed by or on behalf of that other person who is referred to as the Account Holder.

PLEASE NOTE: **If you are applying:**

- As an Individual/Joint Investors/Sole Trader please complete Section 8.1 and 8.7.
- All other types of entities please complete Sections 8.2, 8.3, 8.4, 8.5, 8.6 and 8.7 (where applicable).

8.1 TAX RESIDENCE – INDIVIDUAL/SOLE TRADER

8.1.1 **INVESTOR 1:** Provide all jurisdictions where the investor is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1 (outside Aus)	TIN 1/TFN 1	Reason if no TIN: A ☐ B ☐ C ☐
Country of Tax Residence 2 (if applicable)	TIN 2/TFN 2 (if applicable)	A ☐ B ☐ C ☐
Country of Tax Residence 3 (if applicable)	TIN 3/TFN 3 (if applicable)	A ☐ B ☐ C ☐

☐ I certify the tax residence countries provided represent all countries in which I am considered a tax resident. If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

Is the account holder a U.S. Person? A U.S. person includes a U.S. citizen or resident alien of the U.S. even if residing outside the U.S.

☐ Yes - If 'Yes', the Account Holder's U.S. country of residence and U.S. Tax Identification Number must be provided above.

☐ No

(If Joint Investor, please also complete Section 8.1.2)

8.1.2 **INVESTOR 2:** Provide all jurisdictions where the investor is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1	TIN 1/TFN 1	Reason if no TIN: A ☐ B ☐ C ☐
Country of Tax Residence 2 (if applicable)	TIN 2/TFN 2 (if applicable)	A ☐ B ☐ C ☐
Country of Tax Residence 3 (if applicable)	TIN 3/TFN 3 (if applicable)	A ☐ B ☐ C ☐

☐ I certify the tax residence countries provided represent all countries in which I am considered a tax resident.
If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

Is the account holder a U.S. Person? A U.S. person includes a U.S. citizen or resident alien of the U.S. even if residing outside the U.S.

☐ Yes - If 'Yes', the Account Holder's U.S. country of residence and U.S. Tax Identification Number must be provided above.

☐ No

8.2 ACCOUNT HOLDER'S GIIN – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Account Holder's GIIN (if any)

Sponsoring Entity's Name (if the Account Holder is sponsored entity, please provide the sponsor's GIIN)

8.3 TAX RESIDENCE – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Provide all jurisdictions outside of Australia where the Account Holder is a tax resident.

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1	TIN 1/TFN 1	Reason if no TIN: A ☐ B ☐ C ☐
Country of Tax Residence 2 (if applicable)	TIN 2/TFN 2 (if applicable)	A ☐ B ☐ C ☐
Country of Tax Residence 3 (if applicable)	TIN 3/TFN 3 (if applicable)	A ☐ B ☐ C ☐

☐ You certify the tax residence countries provided represent all countries considered a tax resident.
If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

8.4 FATCA STATUS – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Provide all jurisdictions outside of Australia where the Account Holder is a tax resident.

Is the Account Holder a specified U.S. person? A U.S. person includes a U.S. citizen or resident alien of the U.S. even if residing outside the U.S.

☐ Yes Provide a U.S. Taxpayer Identification Number (TIN): _____ *(Proceed to Section 8.5 and 8.7)*

☐ No *(Continue the non U.S Person Certification below)*

Non U.S Person Certification
Select only a single category. Select a classification that matches your FATCA status:

☐ Exempt Beneficial Owner (includes self-managed superannuation fund) (Proceed to Section 8.5 and 8.7)

☐ Active Non-Financial Entity (Non U.S.) (Proceed to Section 8.5 and 8.7)

☐ Passive Non-Financial Entity (Non U.S.) (Complete Section 8.5, 8.6 and 8.7)

☐ Direct Reporting Non-Financial Entity (Non U.S.) (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)

☐ Participating Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)

☐ Local/Partner Jurisdiction Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)

☐ Deemed-Compliant Foreign Financial Institution. Select deemed-complaint category:

- ☐ Passive Non-Financial Entity (Non U.S.) (Complete Section 8.5 and 8.7)
- ☐ Direct Reporting Non-Financial Entity (Non U.S.) (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)
- ☐ Participating Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)
- ☐ Local/Partner Jurisdiction Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)

☐ Nonparticipating Foreign Financial Institution (Proceed to Section 8.5 and 8.7)

☐ Sponsored Direct Reporting Non-Financial Entity (Non U.S.) (Provide GIIN and Sponsor's name in Section 8.2, proceed to Section 8.5 and 8.7)

☐ Other – describe the FATCA status (Proceed to Section 8.5 and 8.7)

8.5 CRS STATUS – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Is the entity an Investment Entity managed by another Financial Institution?

☐ Yes - If any tax residence country provided is not a participating CRS jurisdiction, then complete Section 8.6 and 8.7

☐ No *(Proceed to the NFE section that follows below)*

If the Account Holder is a Non-Financial Entity (NFE), select a classification that matches your CRS status:

☐ Non-Reporting Financial Institution *(Proceed to Section 8.7)*

☐ Other Active Non-Financial Entity *(Proceed to Section 8.7)*

☐ Government Entity, International Organisation and Central Bank *(Proceed to Section 8.7)*

☐ A corporation, the stock of which is regularly traded on an established securities market

☐ Passive Non-Financial Entity *(Complete Section 8.6 and 8.7)*

Name of Securities Market: _____

Name of Related Entity: _____

☐ Other – describe the CRS status *(Proceed to Section 8.6)*

8.6 CONTROLLING PERSONS (INCLUDES BENEFICIARY DETAILS UNDER SECTION 8.1 and 8.2)

If there is a change in Controlling Persons/Beneficial Ownership, please submit an updated form within 30 days

☐ CONTROLLING PERSON 1 AND/OR ☐ BENEFICIAL OWNER 1

First Name

Current Residential Address

Suburb | State | Postcode | Country

DOB (DD/MM/YYYY) | City/Town of Birth | Country of Birth

/ /

Provide all jurisdictions where the Account Holder is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1	TIN 1/TFN 1	Reason if no TIN: A ☐ B ☐ C ☐
Country of Tax Residence 2 (if applicable)	TIN 2/TFN 2 (if applicable)	A ☐ B ☐ C ☐
Country of Tax Residence 3 (if applicable)	TIN 3/TFN 3 (if applicable)	A ☐ B ☐ C ☐

☐ You certify the tax residence countries provided represent all countries considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

☐ CONTROLLING PERSON AND/OR ☐ BENEFICIAL OWNER 2

First Name | Family Name/Surname

Current Residential Address

Suburb | State | Postcode | Country

DOB (DD/MM/YYYY) | City/Town of Birth | Country of Birth

/ /

Provide all jurisdictions where the Account Holder is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1	TIN 1/TFN 1	Reason if no TIN: A ☐ B ☐ C ☐
Country of Tax Residence 2 (if applicable)	TIN 2/TFN 2 (if applicable)	A ☐ B ☐ C ☐
Country of Tax Residence 3 (if applicable)	TIN 3/TFN 3 (if applicable)	A ☐ B ☐ C ☐

☐ You certify the tax residence countries provided represent all countries considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

If there are more than 2 Controlling Persons or Beneficial Owners or Countries of Tax Residence, please attach details to this Application Form.

8.7 DECLARATIONS AND SIGNATURE

- You acknowledge and agree that information contained in this form and information regarding the account(s) set out above may be reported to the Australian Taxation Office (ATO) as required under the relevant laws and the ATO may provide the information to the country or countries in which I/We/Account Holder am/are/is resident for tax purposes.
- You undertake to advise the relevant trustee/responsible entity and/or their relevant agent of any change in circumstances which causes the information contained herein to become incorrect and to provide them with a suitably updated certification within 30 days of such change in circumstances.
- You certify that you are the Account Holder (or I you are authorised to sign for the Account Holder) of all the account(s) to which this form relates.
- You declare that all statements made on this form/in this declaration are, to the best of my/our knowledge and belief, true correct and complete.

Signature

Name of Signer

Capacity in which Signing (if not signed by account holder)

Date
/ /

Signature

Name of Signer

Capacity in which Signing (if not signed by account holder)

Date
/ /

9. FINANCIAL ADVISER DETAILS

Use this section to tell us about your registered financial adviser. If you change your financial adviser, it's important to let us know immediately.

9.1 AUTHORISED REPRESENTATIVE, AGENT AND/OR FINANCIAL ADVISER

NOTE: The details below are to be completed by your financial adviser

☐ I am an authorized representative or agent as nominated by the investor(s)

You must attach a valid authority such as Power of Attorney, guardianship order, grant of probate, appointment of bankruptcy etc. that is a certified copy. The document must be current and complete, signed by the investor or a court official and permits the authorised representative or agent to transact on behalf of the investor.

Full name of authorized representative or agent

Role held with investor(s)

Signature

Date

☐ I am a financial adviser as nominated by the investor

Notice to financial adviser: by completing this section of the application form, you are confirming that you are an ASIC registered financial adviser and hold a current Australian Financial Services License (AFSL).

Name of adviser

AFSL number

Dealer group

Name of advisory firm

Postal address

Suburb

State

Postcode

Email address

Financial Advice (only complete is applicable)

☐ The investor has received personal financial product advice in relation to this investment from a license financial adviser and the advice is current

92 ACCESS TO INFORMATION

Unless you elect otherwise, your authorised representative, agent and/or financial adviser will also be provided access to your investment information and/or receive copies of statements and transaction confirmations. By appointing an authorised representative, agent and/or financial adviser you acknowledge that you have read and agreed to the terms and conditions in the PDS relating to such appointment.

☐ Please tick this box if you DO NOT want your authorised representative, agent and/or financial adviser to have access to information about your investment.

☐ Please tick this box if you DO NOT want copies of statements and transaction confirmations sent to your authorised representative, agent and/or financial adviser.

☐ Please tick this box if you want statements and transaction confirmations sent ONLY to your authorised representative, agent and/or financial adviser.

GROUP D – Authorised Representatives and Agents

In addition to the above entity groups:

☐ If you are an Individual Authorised Representative or Agent – please also provide the identification documents listed under Group A.

☐ If you are a Corporate Authorised Representative or Agent – please also provide the identification documents listed under Group B.

All Authorised Representatives and Agents must also provide a certified copy of their authority to act for the investor

e.g. the POA, guardianship order, Executor or Administrator of a deceased estate, authority granted to a bankruptcy trustee, authority granted to the State or Public Trustee etc.

Financial Adviser Declaration

Signature	Date

10. DECLARATIONS, ACKOWLEDGEMENTS AND SINGARURES

10.1 DECLARATIONS AND ACKNOWLEDGEMENTS: When you apply to invest, you (the applicant(s)) confirm:
The named that is applying for investments in the Trust, declares that the details given in this Application Form are true and correct. By completing this application I/we acknowledge that I/we were given access to a complete copy of the PDS at the same time (and by the same means) as accessing this application. I/We declare that by signing and lodging this Application Form, I/we represent and acknowledge that I/we have read and understood the PDS to which the Application Form relates. I/We acknowledge that by signing the application, I/we:

- Wish to apply for Units and received the PDS for the Trust at the same time and by the same means as I / we received this Application Form;
- Agree to be bound by the PDS, the terms of issue of Units and the terms of the Trust Deed, as may be amended from time to time;
- Confirm that I / we have read and understood the PDS as supplemented, updated or replaced from time to time;
- Confirm that I / we have legal power to invest including, if investing as trustee that I/we am/are acting in accordance with my / our designated powers and authority under the trust deed;
- If investing as a trustee on behalf of a superannuation fund, confirm that the investment complies with and does not breach any provision of the *Superannuation Industry (Supervision) Act 1993* (Cth) and agree to provide the Fund Manager any additional information or documentation it requests to ensure compliance with that legislation and other relevant prudential laws;
- If this is a joint application, each of us agrees, unless otherwise indicated on this Application Form, that our investment is as joint tenants and that each of us who is able to operate the account will bind the other(s) to any transaction, election or other communication with the Responsible Entity or its delegates;
- Acknowledge and agree that an investment in the Trust will be made via the issue of Units in the Trust or the transfer of Units from Unitholders in the Trust. I / we authorise the Fund Manager as my / our agent and attorney to take all action necessary to effect any transfer of Units to me / us, including the completion and execution of a valid transfer form;
- Agree to information about me / us being collected, used and disclosed in accordance with the privacy statement contained in the PDS and on the Responsible Entity's website;
- Will provide to the Fund Manager or its nominee any information that the Fund Manager reasonably requires in order to enable the Fund Manager to comply with all its obligations under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) (AML/CTF Act) and its associated rules and regulations (in force from time to time);
- Acknowledge that the information collected by the Fund Manager (including in this Application Form) may be used for identification purposes, including via a third party identification service, to enable the Fund Manager to comply with all its customer identification obligations under the AML/CTF Act and associated rules and regulations as referred to above;
- Acknowledge that investments in the Trust are not deposits or liabilities of any company of the Fund Manager;
- Declare that this Application Form is completed and lodged in accordance with the PDS and that all statements made by me / us are complete and accurate and acknowledge that is it a criminal offence to knowingly provide misleading information or documents in respect to this application;
- Declare the CRS and FATCA notifications provided at Sections 8 and 9 of this Application Form are correct and agree to provide the Fund Manager any additional information or documentation it requests from time to time to ensure compliance with reporting and other obligations under CRS and FATCA rules and regulations;
- Without limitation, specifically acknowledge, accept and agree to the content of the Important Information set out on pages 2 and 3 of the PDS;
- Acknowledge that the Trust is subject to investment and other risks, including but not limited to those set out in the PDS, which could include delays in repayment, and / or loss of income and capital invested and that no member of the Fund Manager guarantees the performance of the Trust or any particular rate of return; and
- Confirm that the application, including all details provided in the Application Form, is true and correct.

10.2 SIGNING: Applicants must sign in accordance with the instructions below in the boxes provided

Individual	Where the investment is in one name, the sole investor must sign.
Joint Holding	Where the investment is in more than one name, all investors must sign. If more than two signatures are required, please attach an additional page with the full names of each account holder, their signatures, and date.
Companies	Where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.
Trust	The trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.
Power of Attorney	If signing under a Power of Attorney and you have not already lodged the Power of Attorney document, please attach a certified copy of the Power of Attorney annotated with the following: I/We attest that the Power of Attorney has not been rescinded or revoked and that the person who gave the Power of Attorney is still living.

Signature of investor 1, Director or Authorised Signatory

Please print full name

Date
/ /

Company officer (please indicate company capacity):

- ☐ Director
- ☐ Sole Director and Company Secretary
- ☐ Authorised Signatory

Signature of investor 2, Director/Company Secretary or Authorised Signatory

Please print full name

Date
/ /

Company officer (please indicate company capacity):

- ☐ Director
- ☐ Company Secretary
- ☐ Authorised Signatory

APPENDIX A

All copies of documents forwarded must be certified as a correct copy by a person who in the State or Territory of certification has the power to witness a Statutory Declaration.

People who can certify documents or extracts are:

- A lawyer, being a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- Financial adviser or financial planner
- A judge of a court
- A magistrate
- A chief executive officer of a Commonwealth court
- A registrar or deputy registrar of a court
- A Justice of the Peace
- A notary public (for the purposes of the Statutory Declaration Regulations 1993)
- A police officer
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- A finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 1993)
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more Licencees
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership